中信证券股份有限公司
CITIC Securities Company Limited

(A joint stock limited company incorporated in the People's Republic of China with limited liability)
(Stock Code: 6030)

ANNOUNCEMENT MADE PURSUANT TO RULE 13.10 OF THE LISTING RULES

This announcement is made by CITIC Securities Company Limited (the "**Company**", together with its subsidiaries, the "**Group**") at the request of The Stock Exchange of Hong Kong Limited (the "**Stock Exchange**") and pursuant to Rule 13.10 of the Rules Governing the Listing of Securities on the Stock Exchange (the "**Listing Rules**").

The board of directors of the Company (the "**Board**") noted certain media articles over the past two days regarding the investigation of a Hong Kong subsidiary of the Company (the "**Subsidiary**") by the Securities and Futures Commission of Hong Kong (the "**SFC**") and the Independent Commission Against Corruption (the "**ICAC**"), as well as the movement in the trading price and trading volume of the Company's H shares on the Stock Exchange on 12 March 2026 (the "**Movement**"). The Board hereby clarifies that:

The Company was informed that, on 10 March 2026, the SFC and the ICAC attended the place of business of the Subsidiary with a search warrant and seized certain documents. An employee of the Subsidiary was enquired by the ICAC. The Company attaches significant importance to this matter and will closely monitor its progress.

The Board hereby confirms that, as at the date of this announcement, the Group is in normal operation, all business activities are conducted as usual, and all operation activities are developed in an orderly and compliant manner.

Having made all reasonable enquiries with respect to the Company in the relevant circumstances, the Board confirms that, save for the investigation, it is not aware of any reasons for the Movement or of any information which must be announced to avoid a false market in the Company's securities or of any inside information that needs to be disclosed under Part XIVA of the Securities and Futures Ordinance (Chapter 571 of the laws of Hong Kong).

The Company will fulfil its information disclosure obligations in accordance with the requirements under the listing rules in the place(s) where the shares of the Company are listed. Shareholders and potential investors of the Company are advised to exercise caution when dealing in the securities of the Company.

This announcement is made by the order of the Company. Each director of the Board collectively and individually accepts responsibility for the accuracy of this announcement.

By Order of the Board
CITIC Securities Company Limited
ZHANG Youjun
Chairman

Beijing, the PRC
12 March 2026

As at the date of this announcement, Executive Directors of the Company are Mr. ZHANG Youjun, Mr. ZOU Yingguang and Mr. ZHANG Changyi; Non-executive Directors of the Company are Ms. LI Yi, Mr. LIANG Dan, Mr. ZHANG Xuejun, Ms. FU Linfang and Mr. ZHAO Xianxin; Independent Non-executive Directors of the Company are Mr. LI Qing, Mr. SHI Qingchun, Mr. ZHANG Jianhua, Mr. LIU Qiao and Ms. LI Lanbing; and Employee Director of the Company is Mr. SHI Liang.